

**BACHELOR OF BUSINESS ADMINISTRATION (CBCS - 2018 COURSE)**

**B.B.A. Sem-V : : SUMMER - 2022**

**SUBJECT : CAPITAL MARKET OPERATIONS**

Day : Monday  
Date : 30-05-2022

**S-18854-2022**

Time : 02:00 PM-05:00 PM  
Max. Marks : 60

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**N.B.**

- 1) Attempt any **THREE** questions from Section – I. Attempt any **TWO** questions from Section – II.
  - 2) Figures to the right indicate **FULL** marks.
  - 3) Solve both the sections in the **SAME** answer sheet.
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**SECTION – I**

- Q.1** State and Explain the Participants of Derivative Markets. (10)
- Q.2** Explain the NEAT system. (10)
- Q.3** State and explain the different types of charts used in Technical Analysis of a share in the stock market. (10)
- Q.4** State the important provisions as given in SEBI (Prohibition of Insider Trading) Regulations, 1992 (10)
- Q.5** Write short notes on **ANY TWO:** (10)
- a) DEMAT
  - b) Investor Protection Fund
  - c) Risks in Settlement

**SECTION – II**

- Q.6** Write a note of Order Management and Auction. (15)
- Q.7** Explain the Capital Market Intermediaries. (15)
- Q.8** Write short notes on any **TWO:** (15)
- a) Fundamental Analysis
  - b) Settlement process
  - c) International securities

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