

BACHELOR OF BUSINESS ADMINISTRATION (CBCS - 2018 COURSE)
B.B.A. Sem-V : WINTER : 2021
SUBJECT: CAPITAL MARKET OPERATIONS

Day : Monday
Date : 07-02-2022

W-18854-2021

Time : 10:00 AM-01:00 PM
Max. Marks: 60

N.B.

- 1) Attempt any **THREE** questions from Section – I. Attempt any **TWO** questions from Section – II.
- 2) Figures to the right indicate **FULL** marks.
- 3) Solve both the sections in the **SAME** answer sheet.

SECTION – I

- Q.1** Explain the role of Capital Market in Indian Financial System. **(10)**
- Q.2** What are the functions of Wholesale Debt Market? **(10)**
- Q.3** Explain the important provisions of SEBI ‘Stock Brokers and Sub Brokers’ Regulations 1992. **(10)**
- Q.4** Elaborate on NEAT system used in trading. **(10)**
- Q.5** Write short notes on **ANY TWO**: **(10)**
- a) Auction
 - b) Investor Protection Fund
 - c) Types of charts and technical indicators

SECTION – II

- Q.6** Write a note on ‘Derivatives Market’. **(15)**
- Q.7** Explain the usefulness of Fundamental and Technical Analysis. **(15)**
- Q.8** Write a note on important provisions of Money Laundering Act, 2002. **(15)**

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