

CDOE
BACHELOR OF BUSINESS ADMINISTRATION (CBCS-2019 COURSE)
B.B.A. SEM - V : WINTER :- 2021
SUBJECT: CAPITAL MARKET OPERATIONS

Day : Wednesday
Date 23-02-2022

W-21948-2021

Time : 02:00 PM-05:00 PM
Max. Marks: 60

N.B.

- 1) Attempt any **THREE** questions from Section – I. Attempt any **TWO** questions from Section – II.
 - 2) Figures to the right indicate **FULL** marks.
 - 3) Solve both the sections in the **SAME** answer sheet.
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SECTION – I

- Q.1** Explain the terms ‘Demat’ and ‘Electronic transfer of securities’. **(10)**
- Q.2** Write a note on ‘Capital Market’ as a part of Indian Financial System. **(10)**
- Q.3** Explain the terms of Fundamental and Technical Analysis. **(10)**
- Q.4** What are the important provisions of Money Laundering Act 2002? **(10)**
- Q.5** Write short notes on **ANY TWO**: **(10)**
- a) Investor Protection Fund
 - b) NEAT system
 - c) Order management

SECTION – II

- Q.6** What do you mean by Derivatives Market? Explain the Participants of Derivative Market. **(15)**
- Q.7** Write a detailed note on Debt Market in India. **(15)**
- Q.8** Explain the guidelines issued by SEBI on ‘Prohibition of Insider Trading’. **(15)**

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