

**B.B.A. SEM – V (2015 CBCS COURSE) : WINTER - 2017**  
**SUBJECT: ELECTIVE- II 7) CAPITAL MARKET OPERATIONS**  
**(Financial Market)**

Day : **Thursday**  
Date : **16/11/2017**

**W-2017-1549**

Time : **02.00 PM TO 05.00 PM**  
Max. Marks : 100

**N.B.:**

- 1) Attempt any **FOUR** questions form Section I and any **TWO** questions from Section –II.
- 2) Answer to both sections should be written in **SEPARATE** answer books
- 3) Figures to the right indicate **FULL** Marks

**SECTION-I**

- Q.1** Elaborate Upon the Depositories Act, 1996. **(15)**
- Q.2** Explain the Following: **(15)**  
a) Risks in Settlement  
b) Investor Protection Fund
- Q.3** Write detailed note on the NEAT (National Exchange for Automated Trading) System. **(15)**
- Q.4** Elaborate upon the Order Management and Trade Management. **(15)**
- Q.5** Write Short notes on **ANY THREE** of the following **(15)**  
a) File Transfer Protocol  
b) Auction  
c) Equity Research  
d) Demat and Electronic Transfer of Securities  
e) Time value of Money

**SECTION-II**

- Q.6** Discuss Securities and Exchange Board of India Regulations, 1992 for Prohibition of Insider Trading. **(20)**
- Q.7** Elaborate the products and participants of Indian Securities Market. **(20)**
- Q.8** Write detailed note on the Settlement process and Settlement Agencies. **(20)**

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