

B.B.A. SEM – V (2015 CBCS Course) : SUMMER - 2019
SUBJECT : ELECTIVE – II : CAPITAL MARKET OPERATIONS
(FINANCIAL MARKET)

Day : Wednesday
Date : 24/04/2019

S-2019-1979

Time : 02.00 PM TO 05.00 PM
Max. Marks : 100

N. B. :

- 1) Attempt **ANY FOUR** questions from section – I and attempt **ANY TWO** questions from section – II.
 - 2) Figures to the right indicate **FULL** marks.
 - 3) Answers to both the sections should be written in SAME answer books.
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SECTION - I

- Q. 1** What do you mean by Transaction Cycle? Explain in detail Settlement Process. (15)
- Q. 2** Explain the following: (15)
- a) File Transfer Protocol
 - b) Trading Mechanism
- Q. 3** Write detailed note on Money Laundering Act, 2002 with reference to Capital Market Operations. (15)
- Q. 4** Elaborate the concept of Order Management and Trade Management. (15)
- Q. 5** Write detailed note on **ANY THREE** of the following: (15)
- a) Time value of Money
 - b) Investor Protection Fund
 - c) International Securities
 - d) National Exchange for Automated Trading (NEAT) System

SECTION - II

- Q. 6** Elaborate the Securities and Exchange Board of India (SEBI) Regulations, 2003 for Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Markets. (20)
- Q. 7** What do you mean by Securities Settlement? Explain risks involved in Settlement. (20)
- Q. 8** Explain the products and participants of Indian Securities Market. (20)

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